

## Appendix

## ASSIGNMENT

WHEREAS, I (we),

whose post office address(es) appear(s) below, hereinafter referred to as ASSIGNOR, have invented certain new and useful improvements in

PROCESS FOR THE PREPARATION OF PROBUCOL DERIVATIVES

(hereinafter referred to as the INVENTION) for which an application for United States Letters Patent was

- ☒ executed on even date herewith
- ☐ executed on:
- ☐ filed on: Serial Number:
- ☐ established by PCT International Patent Application No.:  
filed: designating the United States of America,

WHEREAS, **CAMBREX CHARLES CITY, Inc.**  
whose post office address is 1205 Eleventh Street, Charles City,  
IA 50616-3466, hereinafter referred to as ASSIGNEE, is desirous  
of acquiring the entire right, title and interest in and to the  
same in the United States of America;

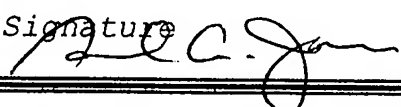
NOW, THEREFORE, for good and valuable consideration, receipt  
of which is hereby acknowledged, I (we), ASSIGNOR, by these  
presents do sell, assign and transfer unto said ASSIGNEE, the  
entire right, title and interest in and to said INVENTION and  
application throughout the United States of America, including  
any and all Letters Patent granted on any division, continuation,  
continuation-in-part and reissue of said application.

ALSO, ASSIGNOR hereby agrees to execute any documents that  
legally may be required in connection with the filing,  
prosecution and maintenance of said application or any other  
patent application(s) in the United States of America for said  
INVENTION, including additional documents that may be required to  
affirm the rights of the ASSIGNEE in and to said INVENTION, all  
without further consideration. ASSIGNOR also agrees, without  
further consideration and at ASSIGNEE's expense, to identify and

communicate to ASSIGNEE at ASSIGNEE's request documents and information concerning the INVENTION that are within ASSIGNOR's possession or control, and to provide further assurances and testimony on behalf of ASSIGNEE that lawfully may be required of ASSIGNOR in respect of the prosecution, maintenance and defense of any patent application or patent encompassed within the terms of this instrument. ASSIGNOR's obligations under this instrument shall extend to ASSIGNOR's heirs, executors, administrators and other legal representatives.

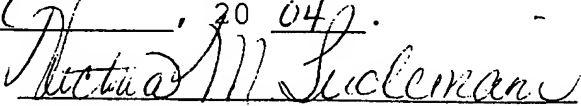
ALSO, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters Patent referred to above to ASSIGNEE, as the ASSIGNEE of the entire right, title and interest in and to the same, for ASSIGNEE's sole use and behoof; and for the use and behoof of ASSIGNEE's legal representatives and successors, to the full end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR authorizes RICHARD J. HAMMOND, Esq. to insert or complete any information in this document needed to effect its recordal in the United States Patent and Trademark Office.

Assignor Name <b>PAUL ALAN JASS</b>	Address <b>203 BLUNT STREET CHARLES CITY, IA 50616</b>
Where Signed <b>CHARLES CITY, IA</b>	
Date <b>04/07/2004</b>	Signature 

\_\_\_\_\_  
SS.

Before me personally appeared said Paul Alan Jass  
and acknowledged this instrument to be his (her) free act and  
deed this 7 day of April, 2004.

  
Notary Public

My Commission Expires Aug 6, 2006

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Assignor Name <u>Jason Scott Douglas</u>	Address <u>701 7<sup>th</sup> Avenue</u> <u>Charles City, IA 50616</u>
Where Signed <u>Charles City, IA</u>	
Date <u>04/07/2004</u>	Signature <u>[Signature]</u>

\_\_\_\_\_  
SS.

Before me personally appeared said Jason Scott Douglas  
and acknowledged this instrument to be his (her) free act and  
deed this 7 day of April, 2004.

[Signature]  
Notary Public

My Commission Expires Aug 6, 2006

Assignor Name	Address
Where Signed	
Date	Signature

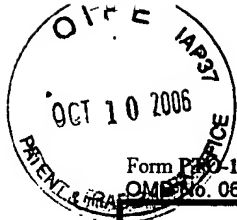
\_\_\_\_\_  
SS.

Before me personally appeared said \_\_\_\_\_  
and acknowledged this instrument to be his (her) free act and  
deed this \_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_.

\_\_\_\_\_  
Notary Public

My Commission Expires \_\_\_\_\_

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**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Paul Allen Jass  
Jason Scott Douglas

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) April 7, 2004

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: Cambrex Charles City, Inc.

Internal Address: \_\_\_\_\_

Street Address: 11205 Eleventh Street

City: Charles City

State: Iowa

Country: US Zip: 50616-3466

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

10/821,426

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Richard J. Hammond

Internal Address: \_\_\_\_\_

Street Address: 5218 Riverbend Blvd.

City: Baton Rouge

State: Louisiana Zip: 70820

Phone Number: 225-769-2732

Fax Number: 225-757-9852

Email Address: rjhammo@cox.net

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**

Signature

September 22, 2006

Date

Richard J. Hammond  
Name of Person Signing

Total number of pages including cover  
sheet, attachments, and documents:

6



No. W00326755  
Date: 09/13/2002

## SECRETARY OF STATE

490 DP-000150707  
CAMBEX CHARLES CITY, INC.

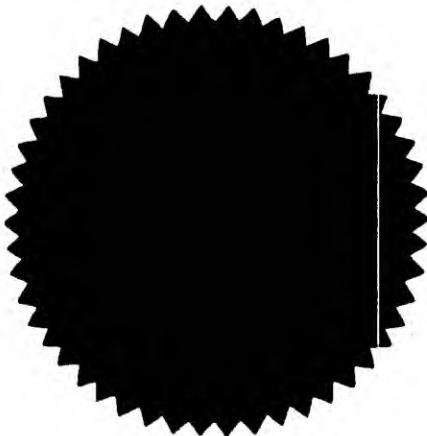
### ACKNOWLEDGEMENT OF DOCUMENT FILED

The Secretary of State acknowledges receipt of the following document:

Articles of Amendment

The document was filed on September 5, 2002, at 10:23 AM, to be effective as of October 1, 2002, at 12:00 AM.

The amount of \$50.00 was received in full payment of the filing fee.



A handwritten signature in black ink, reading "Chester J. Culver".

CHESTER J. CULVER SECRETARY OF STATE



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**CERTIFICATE OF AMENDMENT  
OF  
ARTICLES OF INCORPORATION  
OF  
SALSBURY CHEMICALS, INC.**

Salsbury Chemicals, Inc., a corporation organized and existing under the Iowa Business Corporation Act of the State of Iowa (the "Corporation"), does hereby certify that:

The amendment to the Corporation's Articles of Incorporation set forth in the following resolution approved by the Corporation's Board of Directors and sole stockholder was duly adopted in accordance with the provisions of Section 202 of the Iowa Business Corporation Act: THE DATE OF ADOPTION WAS 9/5/02.

**RESOLVED**, that the Articles of Incorporation of the corporation be amended by striking Paragraph 1 in its entirety and replacing it with the following:

1. The name of the corporation is Cambrex Charles City, Inc.; and further

**RESOLVED**, that the effective date of the change of the name of the corporation to Cambrex Charles City, Inc. is October 1, 2002.

**IN WITNESS WHEREOF**, Salsbury Chemicals, Inc. has caused this Certificate to be signed and attested by its duly authorized officers, this 5<sup>th</sup> day of September 2002.

Salsbury Chemicals, Inc.

Attest:

By:

Monika Lekander

Monika Lekander  
President

Peter E. Thauer

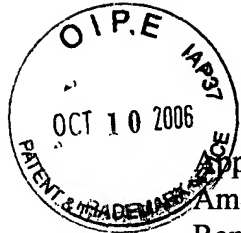
Peter E. Thauer  
Secretary

FILED  
IOWA  
SECRETARY OF STATE

9-5-2002  
10:23 AM  
W326755



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Appl. No.: 10/821,426

Amdt. dated: October 5, 2006

Reply to the Office action mailed: July 31, 2006

**STATEMENT OF COMMON OWNERSHIP OF**

**PATENT APPLICATION SERIAL NUMBER 10/821,426 and**

**PAUL A. JASS, U.S. PATENT 6,323,359**

The inventions disclosed and claimed in patent application Serial Number 10\821,426 and the inventions disclosed and claimed in U.S. Patent 6,323,359 are commonly owned in view of the assignment from the inventor(s) of such patent application and patent to the common assignee, Cambrex Charles City, Inc. formerly Salsbury Chemicals, Inc.